

*CORR*

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT DIVISION

In re PATENT APPLICATION of: USAMA FAYYAD ET AL.

Serial No.: 09/700,606 Examiner: not yet assigned

Filed: 11/15/00 Art Unit: not yet assigned

Docket: 14-694 - 4254

Title: A SCALABLE SYSTEM FOR CLUSTERING OF LARGE  
DATABASES MIXED DATA ATTRIBUTES

Watts, Hoffmann, Fisher  
& Heinke Co., L.P.A.  
1100 Superior Ave., Ste. 1750  
Cleveland, Ohio 44114

OFFICE OF FINANCE  
REFUND DIVISION  
2001 APR -5 PM 2:23  
US PATENT & TRADEMARK  
OFFICE

Commissioner for Patents and Trademarks  
Attn: Finance Dept., Refund Section  
P.O. Box 16  
Washington, D.C. 20231

I hereby certify that this letter is being deposited with the  
U.S. Postal Service as Ref. Class Mail addressed to the  
Assistant Commissioner of Patents, Washington, D.C. 20231

4/2/01  
By *Maren Burdick*

REQUEST FOR REFUND

Dear Sir:

On the February 2001 deposit account statement for account number 23-0630, we noted numerous charges and credits for this application.

This application is based on a previously filed PCT application. When the national phase U.S. application was filed, a check was sent to the U.S. Patent and Trademark Office that was received on November 15, 2000 in the amount of \$1,002 for the application filing fee. A copy of the check and return postcard is enclosed. This application was filed without a signed declaration. On January 31, 2001 the U.S. Patent and Trademark Office received two checks in the amounts of \$110 and \$130 for filing a Petition for a one month extension of time and for filing the missing Declaration and Power of Attorney from the original filing.


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The February 2001 deposit account statement contained two credits, one for \$1046 and one for \$110. Applicant's attorney does not believe that they are entitled to these credits since the filing fee and extension fee was submitted to the PTO unless our deposit account was erroneously charged for these amounts in a previous statement. Also, applicant's attorney respectfully requests an explanation of the inconsistency in the filing fee amount which was sent in to the PTO (\$1002) and the amount credited to our deposit account (\$1046).

The February 2001 deposit account statement also had one charge for \$130 with the fee code number of 154 which stands for a charge for submitting an Oath or Declaration after the twenty or thirty months from priority date. This second charge should be refunded to deposit account number 23-0630 since a \$130 check was submitted and received by the PTO on January 31, 2001.

Respectfully submitted,

Date March 28, 2001.

  
Stephen J. Schultz  
Reg. No. 29,108

Phone: (216)623-0775

UPPER AVENUE, SUITE 1700  
CLEVELAND, OH 44114-2512

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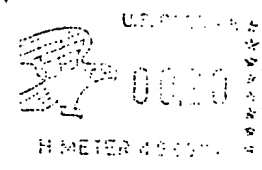
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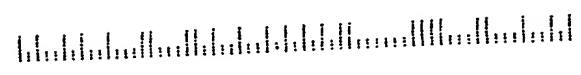
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WATTS, HOFFMANN, FISHER & HEINKE

WATTS, HOFFMANN, FISHER  
& HEINKE CO., L.P.A.  
SUITE 1750  
1100 SUPERIOR AVENUE  
CLEVELAND, OHIO 44114-2518

04



**PATENT APPLICATION**

Applicant(s): Wattys Attorney Docket No.: 14-674  
Title: Variable System in Structures Client: 7254  
Serial No.: \_\_\_\_\_  
Mailing Date: 11/15/00 Filed: \_\_\_\_\_ Patent No.: \_\_\_\_\_  
Receipt is hereby acknowledged of the following: Initials: SUS/KO

<input checked="" type="checkbox"/> Transmittal ( <u>2</u> pages)	<input type="checkbox"/> Assignment(s) ( <u>    </u> pages)
<input type="checkbox"/> Provisional Application for Patent Cover Sheet	<input type="checkbox"/> Assignment Cover Sheet(s) ( <u>    </u> pages)
<input type="checkbox"/> Request for Filing a Patent Application under 37 CFR 1.60 ( <u>    </u> pages)	<input type="checkbox"/> Small Entity Statement ( <u>    </u> pages)
<input type="checkbox"/> Request for Filing a Patent Application under 37 CFR 1.62 ( <u>    </u> pages)	<input type="checkbox"/> <u>    </u> Number of Priority Document(s)
<input checked="" type="checkbox"/> Patent Application ( <u>45</u> total pages)	<input type="checkbox"/> Response to Notice to File Missing Parts ( <u>    </u> pages)
<input type="checkbox"/> Provisional, <input checked="" type="checkbox"/> New Nonprovisional,	<input type="checkbox"/> Copy of Notice to File Missing Parts Form PTO-1533
<input type="checkbox"/> Continuation, <input type="checkbox"/> Divisional, <input type="checkbox"/> CIP,	<input type="checkbox"/> Information Disclosure Statement ( <u>    </u> pages)
<input type="checkbox"/> PCT, <input type="checkbox"/> <u>    </u>	<input type="checkbox"/> PTO Form 1449 ( <u>    </u> pages)
<u>33</u> cover page	<input type="checkbox"/> <u>    </u> Number of Documents
<u>33</u> pages of specification	<input type="checkbox"/> Petition for a <u>    </u> Month Extension of Time
<u>31</u> pages of abstract	<input type="checkbox"/> Preliminary Amendment ( <u>    </u> pages)
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<input checked="" type="checkbox"/> Declaration(s) with Power of Attorney ( <u>2</u> pages) <u>unrelated</u>	<input type="checkbox"/> Appeal Brief in Triplicate ( <u>    </u> pages each)
<input checked="" type="checkbox"/> Check(s) in Amount of \$ <u>1000</u>	<input type="checkbox"/> Issue Fee Transmittal PTOL-85B & Copy
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414 Rec'd PCT/PTO 15 NOV 2000

09/700606

WATTS, HOFFMANN, FISHER  
& HEINKE CO., L.P.A.  
1100 SUPERIOR AVENUE, SUITE 1700  
CLEVELAND, OH 44114-2518

KEY BANK NATIONAL ASSOCIATION  
ANCHORAGE, AK 99501  
89-87/1252

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*Stephen Schultz*  
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CLEVELAND, OHIO 44114-2518

44114+2518



PATENT APPLICATION

Attorney Docket No.: 14-694  
Client: 4254

Applicant(s): Douglas et al.  
Title: Database System, Data Mining  
Serial No. 09/170069, Filed: 11/15/00, Patent No.:  
Mailing Date: 1/25/01, Initials: SKB

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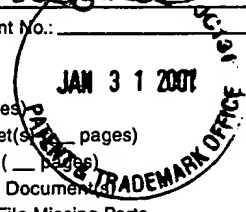
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  - ☐ PTO Form 1449 ( \_\_\_ pages)
  - ☐ \_\_\_ Number of Documents
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- ☐ Preliminary Amendment ( \_\_\_ pages)
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- ☐ Notice of Appeal ( \_\_\_ pages)
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